



RESPECTING HUMAN RIGHTS

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IN FOCUS

Human Rights Working Group established to implement framework

Increased intrusions onto our tenement and operational areas resulted in escalating risk, specifically due to illegal mining

KEY FEATURES

AngloGold Ashanti is committed to upholding fundamental human rights as an important and essential part of how we conduct our business. In the context of the mining landscape, our responsibility includes respect for the resources, values, traditions and cultures of local and indigenous communities; consideration for issues of land access and environmental impacts; respect for the right to livelihood; respect for human rights in post-conflict zones and respect for the rights of people with the deployment of security forces.

Our human rights framework is guided by the [Universal Declaration of Human Rights \(UDHR\)](#) as well as the [United Nations Guiding Principles of Business and Human Rights \(UNGPs\)](#). We have played an active role in the development of the UNGPs and understand our responsibility to not only uphold the rights

of people where our company has an impact, but also to ensure that state actors protect human rights and collectively seek remedy for potentially affected parties.

Aspects of human rights cut across our entire business and we recognise the role it plays in issues such as safety, environmental stewardship, security, community relations and health.

In 2016, AngloGold Ashanti continued to make progress in embedding human rights principles into business practices. We specifically focused our efforts on human rights in our supply chain and facilitating responsible sourcing through our due diligence processes. We also identified a need to create further internal and external awareness for issues of human rights to sensitise our employees and our stakeholders.

OUR ACTIONS IN 2016

EMBEDDING HUMAN RIGHTS IN THE BUSINESS

In 2015, we reported that our Human Rights Due Diligence Standard was in development. The Due Diligence Standard considers human rights risks throughout the lifecycle of our operations. Assessments are done at the pre-feasibility stage, and risks are continuously identified and mitigated throughout the operation's life. Assessments also consider a number of aspects unique to each operation such as the geographical location and country risk; the risks which AngloGold Ashanti may

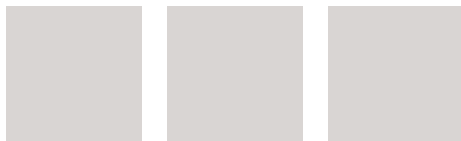
inadvertently cause or contribute to; and the potential and actual impacts.

During the first quarter of 2016, the [Human Rights Due Diligence Standard](#) was approved by the executive committee of AngloGold Ashanti and implementation commenced. Much of the implementation process was geared towards building internal awareness and understanding where the company's activities may have the potential to intersect with, or infringe upon human rights. This also included preventing or mitigating potential impacts, or remediating impacts that have occurred.

At Geita Gold Mine in Tanzania, we initiated a pilot for the Human Rights Due Diligence (HRDD) process late in 2015 and used a toolkit developed internally to assess compliance with the various HRDD aspects of the standard. The pilot concluded during the first quarter of 2016, and based on the findings, the process was extended to all other operations in the company. Operations are required to conduct self-assessments by 2017, followed by verification of the results. A Human Rights working group was also established to implementation of our human rights framework.

HUMAN RIGHTS AND THE SUPPLY CHAIN

AngloGold Ashanti and our suppliers operate in diverse locations around the world. As global citizens, we all have an obligation to





RESPECTING HUMAN RIGHTS (CONTINUED)

act responsibly, lawfully and with integrity wherever we do business. In accordance with our commitment under the United Nations Guiding Principles on Business and Human Rights, AngloGold Ashanti endeavours to support its suppliers so that they also conduct their business in a manner that respects human rights.

Accordingly, all suppliers are required to adhere to our [Supplier Code of Conduct](#) as a condition of doing business with AngloGold Ashanti. In 2015 we shared a self-assessment questionnaire (SAQ) with our suppliers to assess due diligence. The four key areas assessed by the SAQ are governance (bribery and corruption), human rights, environment and safety.

Based on feedback, we refined the SAQ in 2016 and further tested it in the Global Supply Chain. No material human rights, environmental or safety issues were discovered. One governance issue was flagged and addressed by the relevant potential supplier.

When fully operational, our responsible sourcing due diligence process will provide another tool that will enable both AngloGold Ashanti and our business partners, suppliers and contractors to assuredly conduct business in a manner that respects human rights.

OUR PERFORMANCE

In 2016, increased intrusions onto our tenement and operational areas resulted in escalating risk, specifically due to illegal mining activities. The increased risk of incidents is commonly associated with related-party interventions. Despite this negative trend and associated challenges, the ongoing efforts in implementing the Community Enhanced Security Plan has yielded positive results. The plan involves removing people from risk, reducing the potential for conflict, interaction with public

security and with communities across the global portfolio.

Every incident which may have a potential human rights implication is thoroughly investigated and analysed for learning and continuous improvement across the group.

Despite increased confrontation and potential for conflict due to illegal mining specifically at Obuasi Gold Mine, Siguiri Gold Mine and Geita Gold Mine – requiring the support of public security forces – only two self-reported human rights incidents were recorded in 2016.

Self-reported human rights incidents

| | | |
|--------------------------|---|---|
| Site | Vaal River, South Africa | Geita Gold Mine, Tanzania |
| Date | 2 May 2016 | 27 August 2016 |
| Incident | AngloGold Ashanti security staff manhandled suspects during an arrest for product theft. | Members of the police contingent on mine site assaulted a community liaison officer. |
| Corrective action | Four security staff members were dismissed for their actions, and use-of-force awareness training was re-emphasised for all security staff. | The police officers were removed from site and disciplined through the Tanzanian Police disciplinary process. Use-of-force awareness training was re-emphasised for all security staff. |

